

## Viscoelastic emulsion improved the bioaccessibility and oral bioavailability of crystalline compound: A mechanistic study using in vitro and in vivo models

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3 1 **Viscoelastic emulsion improved the bioaccessibility and oral bioavailability of crystalline**  
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6 2 **compound: A mechanistic study using *in vitro* and *in vivo* models**  
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10 4 Yuwen Ting,<sup>a</sup> Yike Jiang,<sup>a</sup> Yaqi Lan,<sup>a</sup> Chunxin Xia,<sup>a</sup> Zhenyu Lin,<sup>a</sup> Michael A. Rogers,<sup>a,b</sup> and  
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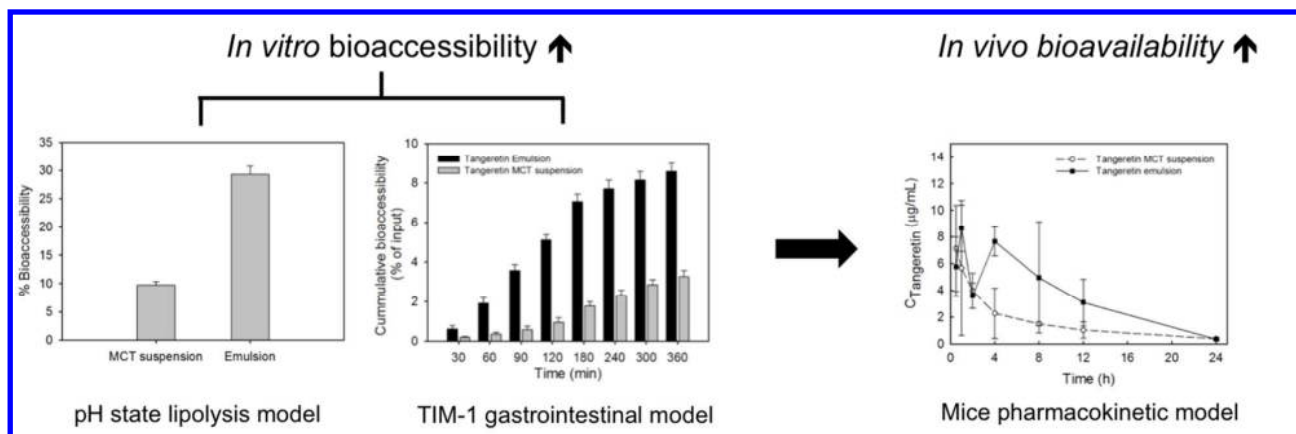
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2  
3 48 **Abstract** The oral bioavailability of hydrophobic compound is usually limited by the poor aqueous  
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5 49 solubility in the gastrointestinal (GI) tract. Various oral formulations were developed to enhance the  
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8 50 systemic concentration of such molecules. Moreover, compounds with high melting temperature  
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10 51 that appear as insoluble crystals imposed great challenge to the development of oral vehicle.  
11  
12 52 Polymethoxyflavone, an emerging category of bioactive compounds with potent therapeutic  
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14 53 efficacies, were characterized as having a hydrophobic and highly crystalline chemical structure. To  
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16 54 enhance the oral dosing efficiency of polymethoxyflavone, a viscoelastic emulsion system with a  
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18 55 high static viscosity was developed and optimized using tangeretin, one of the most abundant  
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20 56 polymethoxyflavones found in natural sources, as modeling compound. In the present study,  
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22 57 different *in vitro* and *in vivo* models were used to mechanistically evaluate the effect of  
23  
24 58 emulsification on oral bioavailability of tangeretin. *In vitro* lipolysis revealed that emulsified  
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26 59 tangeretin was digested and became bioaccessible much faster than unprocessed tangeretin oil  
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28 60 suspension. By simulating the entire human GI tract, TNO's gastrointestinal model (TIM-1) is a  
29  
30 61 valuable tool to mechanistically study the effect of emulsification on the digestion events that lead  
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32 62 to a better oral bioavailability of tangeretin. TIM-1 result indicated that tangeretin was absorbed in  
33  
34 63 the upper GI tract. Thus, a higher oral bioavailability can be expected if the compound becomes  
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36 64 bioaccessible in the intestinal lumen soon after dosing. *In vivo* pharmacokinetics analysis on mice  
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38 65 again confirmed that the oral bioavailability of tangeretin increased 2.3 fold when incorporated in  
39  
40 66 the viscoelastic emulsion than unformulated oil suspension. By using the combination of *in vitro*  
41  
42 67 and *in vivo* models introduced in this work, the mechanism that underlie the effect of viscoelastic  
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44 68 emulsion on the oral bioavailability of tangeretin was well elucidated.  
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52  
53 69 **Key words:** tangeretin/ viscoelastic emulsion/ pharmacokinetic/ TIM-1/ *in vitro* lipolysis/  
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55 70 bioaccessibility/ bioavailability  
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## 1. Introduction

Tangeretin is one of the major polymethoxyflavones (PMFs) found in the peel of numerous citrus fruits<sup>1,2</sup>. With its methoxy functional groups on the flavonoid backbone, tangeretin, similar to many other members in the polymethoxyflavone class, is a potential bioactive agent that is capable of reducing the risk of degenerative diseases. According to many of the previous published literatures, tangeretin is documented to have a wide array of biological functionalities including anti-inflammation<sup>3</sup>, anti-tumorigenesis<sup>4-8</sup>, neuroprotective effects<sup>9</sup>, metabolic modulations<sup>10-12</sup>, and protection against cardiovascular diseases<sup>13-15</sup>. In particular, due to its selective growth inhibition on the carcinoma cells<sup>8,16</sup>, many investigations have been performed addressing the ability of tangeretin to serve as an alternative to anti-cancer agents that universally cause toxic adverse effects to all cells.

The nature of tangeretin as a highly crystalline hydrophobic compound has led to its poor bioavailability when consumed orally. In one previous report, the plasma concentration of tangeretin was less than 0.49 µg/mL in rats fed at a dose level of 50 mg/kg<sup>17</sup>. Due to its high melting point, tangeretin typically presents as crystals and is poorly soluble in most common dietary solvents, such as water and oil, at room temperature. Thus, the oral uses of tangeretin to date are limited by the unavailability of suitable formulations to increase its bioavailability.

As the oral bioavailability is closely dependent on the aqueous solubility, gut wall permeation, and metabolic stability, strategies that improve one or more of these factors could be applied to enhance the oral efficacy of compounds with problematic systemic concentration. Hydrophobic compounds such as tangeretin were found to be better absorbed when ingested with lipid<sup>18-20</sup>, thus lipid-based formulations are popular among investigators when designing delivery systems targeting oral uses of such ingredients. In our previous study, a tangeretin-containing

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3 96 viscoelastic emulsion (VE) system was optimized to achieve higher formulation loading, good  
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5 97 stability, and suitable particle size<sup>21</sup>. The aqueous solubility and *in vitro* anti-cancer proliferation of  
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8 98 tangeretin were significantly improved when processed into the VE formulation<sup>21,22</sup>.  
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10 99 To further elucidate the role of emulsion-based delivery systems and associated factors that  
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13 100 contribute to the oral bioavailability, this work aims to mechanistically study the pre-absorption  
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15 101 events using the *in vitro* lipolysis assay and the dynamic gastrointestinal simulating model (TIM-1).  
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17 102 The *in vivo* pharmacokinetic of tangeretin was then assessed using an animal model. This work  
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20 103 provides the systematic examination on the fate of tangeretin when passed through the  
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22 104 gastrointestinal (GI) tract and evaluates the possibility of using *in vitro* models to predict the *in vivo*  
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24 105 oral bioavailability of hydrophobic compounds formulated with lipidic carriers. Thus, the  
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27 106 methodology used in this study can be used as good reference for future elucidation of mechanism  
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29 107 that underlies the effect of delivery system on the oral bioavailability of bioactive ingredients.  
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## 31 108 **2. Materials and Methods**

### 32 109 *2.1. Materials*

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36 110 Tangeretin of 98% purity was purchased from Quality Phytochemicals, LLC (NJ, USA).  
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38 111 Rapeseed PC75 lecithin was gifted by American Lecithin Company (CT, USA). A Neobee Medium  
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40 112 chain triglyceride sample was requested from Stepan Company (Northfield, IL, USA). Pancreatin of  
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43 113 8X USP specification and Tris maleate were obtained from Sigma–Aldrich (St. Louis, MO, USA).  
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45  
46 114 Sodium taurodeoxycholate (Na TDC) was purchased from CalBiochem (La Jolla, CA, USA).  
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48 115 Pancrex V powder (lipase activity = 25,000 units/g, protease activity = 1,400 units/g, and amylase  
49  
50 116 activity = 30,000 units/g) was purchased from Paines & Byrne, UK. Fresh pig bile was purchased  
51  
52  
53 117 from Farm to Pharm (NJ, USA). Rhizopus lipase (150,000 units/mg F-AP-15) was obtained from  
54  
55 118 Amano Enzyme Inc. (Nagoya, Japan). Trypsin from bovine pancreas (7500 N- $\alpha$ -benzoyl-L-arginine  
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3 119 ethyl ester (BAEE) units/mg, T9201) was purchased from Sigma Aldrich (add city, state, country).  
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6 120 HPLC-grade acetonitrile (ACN) and HPLC-grade water were purchased from J.T. Baker  
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8 121 (Phillipsburg, NJ, USA). Sterile filtered, cell culture compatible dimethyl sulfoxide (DMSO)  
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10 122 (Sigma–Aldrich) was used as HPLC sample solvent. Other chemicals were of reagent grade and  
11  
12  
13 123 used without further purification. Milli-Q water was used throughout the experiment.

### 14 124 *2.2. Preparation of tangeretin viscoelastic emulsion*

15 125 Tangeretin VE was produced according to our recently published method [21]. In brief, an  
16  
17 126 emulsion dispersed phase was prepared by adding tangeretin and emulsifier (lecithin) to the carrier  
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20 127 oil comprised of 100% medium chain triglyceride (MCT) and maintained at 130 °C until  
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22 128 completely solubilized and then cooled to 70 °C before the aqueous phase was added. The aqueous  
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25 129 phase (100% double deionized water) was preheated to 70 °C to avoid rapid crystallization due to  
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29 130 an abrupt temperature drop. Once the aqueous phase was added to the oil phase, the solution was  
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32 131 maintained at 70°C and continuously stirred until a crude emulsion formed. To prevent blocking the  
33  
34 132 narrow valve of the high-pressure homogenization instrument (EmulsiFlex-C6, AVESTIN Inc.,  
35  
36 133 Ottawa, Canada), the viscosity of the crude emulsion was first reduced by subjecting to high-speed  
37  
38  
39 134 homogenization (ULTRA–TURRAX T-25 basic, IKA Works Inc., Wilmington, NC, USA) at  
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41 135 24,000 rpm speed before undergoing pressure treatment at 500 bar and 55 °C. Finally,  
42  
43 136 approximately 25-30 g of emulsion samples (50.4% MCT, 0.5% lecithin, 47% DI water, and 2.1%  
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45  
46 137 of tangeretin) were collected from each processing batch.

### 47 138 *2.3. Loading concentration analysis of tangeretin emulsion*

48  
49  
50 139 The loaded tangeretin VE concentration was then determined using a microplate reader  
51  
52  
53 140 (Molecular Devices, Sunnyvale, CA) at 326 nm. A standard curve from 0.002 mg/ml to 0.125  
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56 141 mg/ml tangeretin in ethanol was constructed in triplicate. The loading capacity of tangeretin into the  
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3 142 VEs were determined by dispensing a pre-measured VE sample (0.1g) into a 10 ml volumetric flask  
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6 143 and filled with 95% ethanol.

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8 144 *2.4. In Vitro Lipolysis of PMFs in Emulsion or MCT Suspension*  
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10 145 The *in vitro* lipolysis study was carried out using our method previously published<sup>22</sup>. To be  
11  
12  
13 146 consistent with the other bioavailability study in this work, a fasted-state buffer was selected for this  
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15 147 part of the evaluation. In short, a fasted-state lipolysis buffer was prepared with Tris maleate, NaCl,  
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17 148 CaCl<sub>2</sub>·H<sub>2</sub>O, NaTDC, and phosphatidylcholine in concentrations of 50, 150, 5, 5, and 1.25 mM,  
18  
19  
20 149 respectively. Pancreatin was freshly prepared for each study by mixing 1 g of pancreatin powder  
21  
22 150 with 5 mL lipolysis buffer, centrifuging at 2000 rpm, and storing on ice. To begin the lipolysis  
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24  
25 151 study, an equivalent amount of samples and 1 mL of prepared pancreatin solution were added to 9  
26  
27 152 mL of fasted-state lipolysis buffer. During the 2-hour lipolysis study, the temperature was  
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29 153 maintained at 37 ± 1°C and the pH was maintained at 7.50 ± 0.02 with 0.25 N NaOH titration. The  
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32 154 volume of NaOH added at each time point was recorded for later analysis. Upon completion of the  
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34 155 2-hour lipolysis study, the resulting lipolysis solutions were subject to ultracentrifugation (Type 60  
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36 156 Ti rotor, Beckman Coulter) for 1 hr at 50,000 rpm. After ultracentrifugation, the micelle containing  
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38  
39 157 layer (usually the layer in between the undigested oil layer and solid precipitant) of the supernatant  
40  
41 158 was collected and stored at -80°C for later HPLC analysis.

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43 159 For HPLC analysis, 200 µL of lipolysis supernatant sample (0.22 µm filtered) was mixed  
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46 160 with 400 µL of DMSO. The percent bioaccessibility of PMFs was calculated according to  
47  
48 161 previously published literature<sup>23</sup> using the equation below:  
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51 162 
$$\% \text{ Bioaccessibility} = \frac{\text{Total mass of solubilized PMFs}}{\text{Total mass of PMF in original lipid samples}} \times 100\% \quad (1)$$
  
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53  
54 163 To determine the change in the digestion kinetics after emulsion processing, the extent of  
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56 164 lipolysis at 30 min was compared between MCT suspension (MS) and VE samples. The extent of  
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3 165 lipolysis, defined as the percentage of triglycerides digested by lipase, can be calculated from the  
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5 166 mols of NaOH consumed. The calculation for the extent of lipolysis assumed that two mols of fatty  
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8 167 acid are released during digestion of one mol of triglyceride consuming two mols of NaOH. Since  
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10 168 lecithin from the VE formulation may also contribute to the total number of fatty acids released, the  
11  
12 169 calculation of NaOH consumption for the VE sample included both compositional MCT (0.27 g)  
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15 170 and lecithin (0.0075 g). The extent of lipolysis was calculated using the following equation in  
16  
17 171 reference to a previously published paper<sup>22</sup>:

$$172 \quad \text{Extent of lipolysis} = \frac{\text{Volume of NaOH} \times \text{Conc. of NaOH}}{2 \times \text{mol of triglyceride}} \times 100\% \quad (2)$$

### 23 173 2.5. Gastrointestinal model

24  
25 174 The dynamic *in vitro* gastrointestinal model TIM-1 (TNO, Zeist, The Netherlands) was  
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28 175 composed of four compartments that simulate the stomach, duodenum, jejunum, and ileum. It was  
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30 176 used to study the pre-absorption events after ingestion. To mimic physiological states, the secretion  
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32 177 of digestive juices and adjustment of pH conditions were controlled by computer programs  
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35 178 according to physiological data described in previous literature<sup>24</sup>. The half-life of gastric emptying  
36  
37 179 was set at 70 min. Temperature during the digestion simulation was maintained at 37°C. For fasted  
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39  
40 180 state, secretion fluids were prepared at 5 times dilution of the fed state digestion fluids according to  
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42 181 the previously published method<sup>24</sup>.

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44 182 To compare the bioaccessibility of tangeretin in MS and VE from digestion, the sample  
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47 183 “meals” were “fed” into the stomach compartment and tested during 6-hour experiments. To  
48  
49 184 determine the bioaccessible concentration of tangeretin, dialysates were collected at 30, 60, 90, 120,  
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51 185 180, 240, 300, and 360 min from jejunal and ileal filtrate, which passed through semipermeable  
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54 186 hollow capillary membranes (Spectrum Milikros modules M80S-300-01P) with pore size of  
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56 187 0.05µm. At the same time, efflux samples were obtained without filtration from outlet of the ileal  
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3 188 compartment. Collected samples were stored on ice until subsequent HPLC analysis. The  
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6 189 experiments were performed in duplicate and were analyzed in triplicate.

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8 190 For HPLC analysis, 500  $\mu\text{L}$  of sample was inoculated with an internal standard (nobiletin,  
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10 191 10  $\mu\text{g}/\text{mL}$ ) that was then extracted by mixing with 600  $\mu\text{L}$  of ethyl acetate and centrifuge at 16000 g  
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12 192 for 30 min at ambient temperature. After centrifuge, the 200  $\mu\text{L}$  of supernatant was obtained and  
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14  
15 193 mixed with an equal amount of DMSO for use in HPLC analysis.

#### 16 17 194 *2.6. Animals*

18  
19  
20 195 Female ICR mice aged seven weeks were purchased from Charles River Laboratories (NY,  
21  
22 196 USA). Animals were randomly divided into control and experimental groups after 1 week of  
23  
24 197 acclimation. All mice were maintained in a controlled atmosphere ( $25 \pm 1$  °C at 10% relative  
25  
26 198 humidity) with 12 h light/12 h dark cycle. All animals were fed with Purina Laboratory Chow 5001  
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28  
29 199 and ad libitum water (Ralston-Purina, Co., St. Louis, MO). The experimental protocol was approved  
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31  
32 200 by Rutgers University (no. 99-015).

#### 33 34 201 *2.7. Pharmacokinetics study*

35  
36 202 Mice used in the pharmacokinetics study were fasted overnight before administrating 100  
37  
38 203 mg/kg of tangeretin in MS or emulsion through oral gavage. At selected time intervals (0.5, 1, 2, 4,  
39  
40  
41 204 8, 12, and 24 hr), blood samples were taken after the animals were sacrificed by  $\text{CO}_2$  asphyxiation  
42  
43 205 and whole blood samples were acquired through cardiac puncture. Collected whole blood samples  
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46 206 were immediately centrifuged at 5000 g for 15 min at 4°C. Plasma was collected and stored at  $-80$   
47  
48 207 °C until later HPLC analysis. For HPLC analysis, a final concentration of 10  $\mu\text{g}/\text{mL}$  of nobiletin  
49  
50 208 was added to 200  $\mu\text{L}$  of thawed plasma sample as an internal standard. The inoculated plasma was  
51  
52  
53 209 then extracted by combining with 600  $\mu\text{L}$  of ethyl acetate and centrifuged at 16000 g for 30 min at  
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55 210 ambient temperature. After centrifuge, the supernatant was collected in a separate container and

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2  
3 211 then dried under nitrogen. The dried samples were redissolved in 100  $\mu$ L of DMSO and were used  
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5 212 for HPLC analysis.  
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8 213 Peak concentration ( $C_{\max}$ ) and time to peak concentration ( $T_{\max}$ ) were recorded from the  
9  
10 214 analysis of plasma concentration-time curves. The total areas-under-curve (AUC) of the time-  
11  
12 215 concentration plot were calculated using the linear trapezoidal rule. The apparent elimination rate  
13  
14 216 constant ( $K_{el}$ ) was obtained from the terminal linear regression slope of logarithmic-transformed  
15  
16 217 plasma concentration-time curves.  
17

### 18 218 *2.8. HPLC Analysis*

19  
20 219 The UltiMate 3000 HPLC system (Dionex, CA, USA) consisted of a quaternary solvent  
21  
22 220 delivery system and an auto sampler; a variable wavelength detector was connected to Supelco's  
23  
24 221 RP-Amide column, 15 cm x 64.6 mm id, 3  $\mu$ m, (Bellefonte, PA, USA). The detection of PMFs was  
25  
26 222 performed using a gradient elution of water (solvent A) and ACN (solvent B). The optimized  
27  
28 223 condition was modified from previous literature <sup>25</sup>. The total elution time was 22 min, where the  
29  
30 224 mobile phase started from 40% ACN, then linearly increased to 55% of ACN over 10 min, then  
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32 225 increased to 70% in 5 min, then to 80% in 5 min, and was then linearly reduced back to 40% at 21  
33  
34 226 min and held isocratically for the final minute. The flow rate was held constant at 1.0 ml/min,  
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36 227 injection volume was 30  $\mu$ L, and detection wavelength was 320 nm.  
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### 39 228 *2.9. Statistical analysis*

40  
41 229 All results were expressed as means  $\pm$  standard deviation. One-way student t-tests were  
42  
43 230 performed using Sigmaplot 10.0 software to examine the difference in oral bioavailability between  
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45 231 unformulated suspension and emulsion tangeretin. Statistical significance was concluded when  $p <$   
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47 232 0.05.  
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## 50 233 **3. Results**

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3 234 *3.1. Characterization of tangeretin viscoelastic emulsion*  
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5 235 In our previous study, the VE system was optimized for oral delivery of tangeretin <sup>21</sup>.  
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8 236 Sufficient loading capacity and storage stability make further *in vivo* bioavailability and bioefficacy  
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10 237 evaluation possible. In this work, all tangeretin emulsion samples used for the studies were freshly  
11  
12 238 prepared and characterized before use. To account for variations in the loading of tangeretin, each  
13  
14 239 production batch was individually assessed for concentration in triplicate using a microplate reader.  
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16 240 The tangeretin loading capacity of VE used in this work ranges from 2.3 – 2.5% (by HPLC), with  
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18 241 an average droplet size of ~500 nm (by light scattering technique). The hydrophobic chemical  
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20 242 structure of tangeretin is the major limiting factor to its oral absorption. Thus, methods that can  
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22 243 improve the solubility and, thus, the bioaccessibility of tangeretin in the aqueous environment could  
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24 244 greatly improve its bioavailability. Viscoelasticity, for the tangeretin emulsion system, was  
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26 245 characterized and exhibited good stability under normal temperature conditions. On the other hand,  
27  
28 246 the fact that it can be easily dispersed into aqueous environment makes it a well-qualified candidate  
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30 247 for oral delivery.  
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36 248 *3.2. Comparison of in vitro lipolysis profiles between tangeretin MCT suspension and its*  
37  
38 249 *viscoelastic emulsion*  
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40 250 The oral bioavailability is positively related to the amount of ingested component that  
41  
42 251 becomes accessible for intestinal uptake. The aqueous solubility and stability in the GI environment  
43  
44 252 are important factors that determine the portion of the dietary component being absorbed through  
45  
46 253 the gut wall. For hydrophobic compounds, low aqueous solubility and rapid elimination greatly  
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48 254 limit its absorption via the oral route. Since hydrophobic ingredients usually have higher solubility  
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50 255 in lipids, the presence of lipids during digestion has a positive impact on their oral bioavailability.  
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53 256 As lipids are hydrolyzed by lipase and micellized with bile salts, the nearby hydrophobic compound  
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3 257 may be incorporated into the hydrophobic micelle core and is then collectively absorbed through the  
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6 258 intestinal lining. In other words, the greater the degree of micellization and the faster the rate of  
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8 259 micelle formation in the intestinal lumen, the more likely the hydrophobic component can avoid  
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10 260 rapid elimination and become bioaccessible. The *in vitro* lipolysis model is a very useful tool to  
11  
12 261 study the impacts of oral formulation on the lipid digestion kinetics and the bioaccessibility of target  
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14 262 compounds in the system.

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17 263         During lipid digestion, fatty acids are continuously released, causing a decrease in pH. To  
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20 264 maintain the optimum pH for enzymatic digestion, NaOH is constantly added into the digestion  
21  
22 265 buffer. Thus, plotting the volume of NaOH added vs. time curve allows the monitoring of digestion  
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24 266 kinetics. In this study, the titration kinetics of the emulsion sample proceeds at a much faster rate  
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26  
27 267 than the unformulated MS sample (Figure 1A). Due to the greater surface area available for lipase  
28  
29 268 digestion, the rate of mixed micelle formation from the digestion products is greater. According to  
30  
31 269 the NaOH concentration-time curve, the majority of lipid digestion in the emulsion system occurred  
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33  
34 270 within 5 minutes from the onset of the study, whereas less than 10% is digested in unformulated  
35  
36 271 MS. When comparing the extent of lipolysis at 30 min, all lipids in the emulsion system were fully  
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38  
39 272 digested, but only 29% was consumed in unformulated MS (Figure 1B). The change of lipid  
40  
41 273 digestion kinetics compared between the unformulated and emulsified tangeretin increased the  
42  
43 274 bioaccessibility from 9.7 to 29.3% of the original input concentration (Figure 2), respectively.  
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45 275 Results from this work again confirmed that the rate and extent of lipid digestion indeed played an  
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47  
48 276 important role in the solubility and bioaccessibility of tangeretin.

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50 277 *3.3. Comparison of in vitro gastrointestinal digestion between tangeretin MCT suspension and*  
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53 278 *viscoelastic emulsion*

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3 279 Besides lipid digestion, the level of orally-ingested tangeretin that becomes bioavailable  
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6 280 may also be affected by other pre-absorption factors including temperature, pH, gastric emptying  
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8 281 time, ionic strength, and enzymatic interactions. Thus, an *in vitro* system, the TIM-1, was utilized  
9  
10 282 which simulates the digestion event in the upper GI tract to study the mechanism underlying the  
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12  
13 283 impact of oral formulation on changing the GI absorption rate. After the tangeretin samples were  
14  
15 284 fed to the TIM-1 system, the portion that is considered bioaccessible for absorption was collected  
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17 285 from the jejunum and ileum section with filtration that allow only solubilized compound to get  
18  
19  
20 286 through. On the other hand, the portion not is regard as not bioaccessible or simply not absorbed in  
21  
22 287 the GI tract was also collected without further filtering from the efflux outlet. Since the TIM-1  
23  
24  
25 288 system does not include the lower GI compartment (colon), the compound collected from efflux  
26  
27 289 was considered lost from the unavailable for absorption. The sample collected from each section  
28  
29 290 was analyzed by HPLC to determine the tangeretin concentration (Table 1).

31  
32 291 The bioaccessibility of tangeretin determined by TIM-1 were expressed as the concentration  
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34 292 of tangeretin recovered from both the jejunum and ileum compartments in relative to the original  
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36 293 input weight. The bioaccessibility of tangeretin increased 2.6 fold when it was incorporated to the  
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38  
39 294 VE system than in the MS. The accumulated tangeretin bioaccessibility (jejunum and ileum)  
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41 295 expressed as percent of input was then plotted as a function of time, as shown in Figure 3.  
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44 296 According to the kinetic profile, the rate of tangeretin become bioaccessible was highest in the first  
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46 297 3 hours after dosing and gradually slowed down thereafter. To better understand the mechanism of  
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48 298 tangeretin absorption, the kinetic of accumulative bioaccessibility was then individually analyzed  
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51 299 for jejunum and ileum (figure 4A and 4B). This mechanistic examination suggested that the  
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53 300 absorption of tangeretin majorly happened in the upper jejunum section and was continuously  
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55 301 eliminated from the system through efflux. The result from the TIM-1 gastrointestinal study

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3 302 indicated that faster dissolution rate in the intestinal lumen could be great beneficial for achieving  
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5 303 higher oral bioavailability. Moreover, higher efflux recovery rate of tangeretin was also observed  
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8 304 from feeding emulsified sample (figure 4C) suggesting that VE not only induced better  
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10 305 bioaccessibility of tangeretin but also faster GI clearance rate. This observation was again confirmed  
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12 306 when total recovered tangeretin was 3.5 times higher when dosing with emulsified samples than  
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14 307 with unformulated sample. More than 80% of the total recovered tangeretin from feeding VE was  
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16 308 obtained within the first few hours, indicating that the emulsion-based delivery system could  
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18 309 facilitate rapid absorption through enhancing the solubility and bioaccessibility of hydrophobic  
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20 310 compounds.

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22 311 *3.4. Comparison of oral bioavailability between tangeretin MCT suspension and viscoelastic*  
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24 312 *emulsion*

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27 313 To directly investigate the effect of emulsion-based oral formulation on the oral  
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29 314 bioavailability of tangeretin, a pharmacokinetic study was conducted using mice fed with either  
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31 315 tangeretin VE or MS through gavage ingestion. Apart from the *in vitro* digestion studies discussed  
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33 316 in earlier sections, an *in vivo* pharmacokinetic study measures the available system concentration of  
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35 317 ingested compounds, which takes into account all physiological factors including absorption,  
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37 318 membrane permeation, and metabolism. Single oral administration of tangeretin (100 mg/kg) in  
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39 319 either VE or MS to mice resulted in distinctive pharmacokinetic profiles between oral formulations.  
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41 320 The curve showing plasma concentration of tangeretin against time is given in Figure 5, and  
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43 321 pharmacokinetic parameters are summarized in Table 2.

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46 322 Administration of VE resulted in a delayed  $T_{max}$  at 1 hour after oral administration, while  
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48 323  $T_{max}$  for mice fed with MS appeared rapidly after 30 minutes. The more viscous characteristic of VE  
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50 324 the formulation could potentially extend the gastric retention time and delay the time to peak  
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3 325 concentration<sup>26,27</sup>. Interestingly, in mice fed with VE, a second peak concentration was observed at  
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6 326 4 hrs, indicating the release of tangeretin from viscous formulation. During 4 to 12 hrs, the plasma  
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8 327 concentration of tangeretin was significantly higher in those groups fed with VE than MS. Together  
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10 328 with the observation of delayed release of tangeretin and higher plasma concentration after the  
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13 329 second peak (at 4 hour), one can postulate that the VE could be used for controlled-release  
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15 330 applications, maintaining adequate drug levels and reducing the need for frequent dosing. Despite  
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17 331 the delayed  $T_{max}$  value, the VE formulation gave a  $C_{max}$  value of  $8.7 \pm 1.7 \mu\text{g/mL}$ , which was 23%  
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20 332 higher than the MS formulation. Moreover, the relative bioavailability of tangeretin in VE was 2.3  
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22 333 times greater than the bioavailability of MS, suggesting that the  $AUC_{0-24}$  value for VE and MS were  
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24 334  $83.0$  and  $35.5 \mu\text{g/mL}\cdot\text{hr}$ , respectively. At 24 hrs, the level of tangeretin plasma concentration for  
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27 335 both VE and MS decreased to  $0.37 \mu\text{g/mL}$  with  $K_{el}$  at  $0.126$  and  $0.153$  per hr, respectively.

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29 336 From the analysis of pharmacokinetic profiles, VE is an effective application to improve the  
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31 337 oral bioavailability of tangeretin where the mechanism may reside in enhancing aqueous solubility,  
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34 338 extending the gastric retention time, and/or modifying the compound release kinetics. However, as  
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36 339 the extracted plasma samples were analyzed by HPLC, one metabolite was consistently found in all  
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39 340 plasma samples withdrawn from mice fed with tangeretin aglycone. In one representative HPLC  
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41 341 chromatography (Figure 6), three distinctive peaks were seen at  $8.813$ ,  $10.94$ , and  $15.067$  min,  
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43 342 which correspond to the presence of nobiletin (internal standard), tangeretin (aglycone), and 5-  
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45 343 demethyltangeretin (metabolite), respectively. To better analyze the metabolic kinetics, the  
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48 344 concentration of 5-demethyltangeretin calculated at each time point was plotted in Figure 7. The  
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50 345 concentration-time profile of 5-demethyltangeretin was similar regardless of either oral formulation  
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53 346 ingested. Even though not statistically significant, the concentration of 5-demethyltangeretin,  
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55 347 however, was always higher in mice fed with MS than VE. This observation could be attributed to  
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3 348 the fact that tangeretin is an inhibitory agent to the metabolic enzyme CYP450<sup>10,11</sup>. Thus, when a  
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5 349 higher concentration of tangeretin reaches the metabolic facility, more of the enzyme-catalyzed  
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8 350 metabolic activities were inhibited. This phenomenon again confirmed the efficacy of the VE  
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11 351 system to enhance the plasma concentration of tangeretin through higher absorption rates, from  
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13 352 which a larger portion of orally-ingested tangeretin could reach the metabolic sites and exit  
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15 353 unchanged.

#### 16 17 18 354 **4. Discussion**

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20 355 In this study, VE was used as a carrier system for oral delivery of hydrophobic crystalline  
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22 356 compound, tangeretin. Rapeseed lecithin (PC 75) has a generally recognized as safe (GRAS) status  
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24 357 and was selected as the only emulsifier, which, in this case, stabilized the emulsion system and  
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27 358 provided it a viscoelastic characteristic. The oral bioavailability provides a fundamental explanation  
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29 359 of the mechanism that links the improved physical and chemical compound properties of the VE  
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31 360 system to the better biological efficacy. The oral bioavailability of the ingested compound is the  
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33 361 sum of three major parameters: bioaccessibility, membrane permeability, and metabolic stability<sup>28</sup>.  
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36 362 Here, we used two *in vitro* digestion studies as well as *in vivo* pharmacokinetic analysis to examine  
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39 363 the mechanism that underlie the effect of VE system on the bioavailability of tangeretin.

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41 364 The *in vitro* lipolysis model is an effective tool to examine the bioaccessibility of  
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43 365 hydrophobic components<sup>23,29</sup>. Using this model, the VE system demonstrated that lipid digestion is  
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46 366 more efficient when a larger surface area is available for lipase activity. Moreover, higher formation  
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48 367 rates of mixed micelles resulting from the accelerated lipid breakdown increased tangeretin  
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51 368 bioaccessibility by 3.2-fold. However, due to the fact that this method used a closed compartment,  
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53 369 analysis excludes the effect of other physiological factors, and some have argued that this model  
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55 370 could result in the overestimation of bioavailability<sup>30,31</sup>. Therefore, we further examined the pre-

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3 371 absorption events using the TIM-1 *in vitro* gastrointestinal simulating system that mimics the  
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6 372 digestion process in the upper GI tract to provide an advanced estimation of bioaccessibility.  
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8 373 Interestingly, the TIM-1 system estimated a 2.6-fold enhancement in bioaccessibility when it was  
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10 374 fed with VE vs. MS. This observation indicates that other biological factors, besides lipase, could  
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12 375 influence the amount of tangeretin that become available for absorption. That is, the TIM-1 system,  
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14 376 which includes more physiological factors during absorption, may provide a more accurate estimate  
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16 377 of oral bioavailability than the lipolysis model.  
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20 378 Even though both *in vitro* systems gave consistent predictions of bioavailability, it is still not  
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22 379 possible for the *in vitro* models to address all of the physiological influences that together contribute  
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24 380 to the overall bioavailability. For example, in the TIM-1 system the gastric emptying rate is pre-  
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26 381 determined regardless of the food ingested while, in reality, the gastric retention time would be  
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28 382 variable when different dietary matrices are encountered. Moreover, the *in vitro* digestion systems,  
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30 383 in general, rule out the absorption and metabolic mechanisms that are important factors to oral  
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32 384 bioavailability. The *in vivo* pharmacokinetic study in mice was conducted to provide a realistic  
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34 385 assessment on the effect of oral formulation with regard to the system availability of tangeretin.  
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36 386 Even though the level of tangeretin in the plasma was still low (since peak plasma concentrations  
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38 387 were  $7.1 \pm 3.2$  and  $8.7 \pm 1.7$   $\mu\text{g/mL}$  from feeding MS and VE formulations, respectively), the oral  
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40 388 bioavailability of tangeretin in those mice fed with VE was 2.3 times of that in mice fed with MS.  
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42 389 The data from the pharmacokinetic study implied that oral bioavailability of tangeretin could be  
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44 390 improved by oral formulations that enhance its solubility. Moreover, the bioavailability of  
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46 391 tangeretin is not only affected by pre-absorption events, but also other metabolic activities  
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48 392 following absorption. The decrease in the appearance of 5-demethyltangeretin in the VE-fed mice  
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3 393 suggested that metabolic activities could be down regulated when larger amounts of tangeretin  
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6 394 reach the metabolic facilities.

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8 395 When assimilating all of the presented analysis, despite their limitations, the *in vitro*  
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10 396 methods used in this work were useful in providing prediction of *in vivo* oral bioavailability from  
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12 397 different oral formulations. According to the data collected, solubility and metabolic conversion are  
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15 398 the two main hurdles for tangeretin bioavailability. Using VE as the oral delivery vehicle for  
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17 399 tangeretin, improved its bioaccessibility in intestinal lumen, which resulted in higher oral  
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20 400 bioavailability. Even though the oral bioavailability analysis of tangeretin is still limited, the  
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22 401 pharmacokinetic values obtained in this work were higher than in the work of Manthey et al., in  
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24 402 which 50 mg/kg of tangeretin in corn oil was fed to SD rats<sup>17</sup>. The difference could be attributed to  
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27 403 the lower solubility of tangeretin in corn oil<sup>32</sup> and physiological variability between animal species.

## 28 29 404 **5. Conclusion**

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32 405 In summary, VE developed for the oral delivery of tangeretin proved effective in enhancing  
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34 406 the oral bioavailability of tangeretin by improving the aqueous solubility, and promoting rapid  
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36 407 digestion, increasing the absorption of tangeretin, and resulting in higher metabolic stability and,  
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39 408 thus, better oral bioavailability. The *in vitro* digestion models used in this work demonstrated a  
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41 409 positive correlation in predicting the bioavailability in living organisms and should be used when  
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43 410 screening the efficacy of delivery systems before proceeding to *in vivo* assessment, which could  
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46 411 subject to great individual variability. Emulsion-based delivery systems were demonstrated to be an  
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48 412 efficient strategy to overcome the poor bioavailability of tangeretin and may also be used for other  
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50 413 hydrophobic ingredients with similar chemical properties. It should be noted that even though  
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53 414 viscoelastic emulsion (VE) based formulations showed improved oral bioavailability and  
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55 415 bioactivities than the inferior suspension formulations, the VE formulations still receive less  
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3 416 attention due to their limited practical interest in pharmaceutical industry. More research is needed  
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6 417 on the development of spray-dryable formulations that can be reconstituted into emulsions when  
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8 418 water is added. In addition, with more careful safety evaluation, the advantage of using an  
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10 419 emulsion-based delivery system may then be applied to consumer products to extend the range of  
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12 420 available health-promoting benefits.

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15 421 **Acknowledgement.** This work was supported by U.S. Army Research Office  
16  
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**Table 1.** Bioaccessibility of tangeretin measured by TIM-1 system.

<b>Formulation</b>	<b>Tangeretin Input (mg)</b>	<b>Total recovery (mg)</b>	<b>Total recovery as of % input</b>	<b>Bioaccessibility as of % input</b>	<b>Efflux as of % input</b>
MCT suspension	2500	134.4±23.0	5.4±0.9	3.3±0.3	2.1±0.8
Emulsion	2400	255.2±28.7*	19.1±1.2*	8.6±0.4*	10.5±1.2*

\*  $p < 0.05$

**Table 2.** Pharmacokinetic parameters of tangeretin after oral administration.

<b>Formulation</b>	<b>Tangeretin dose (mg/kg)</b>	<b>T<sub>max</sub> (hr)</b>	<b>C<sub>max</sub> (µg/mL)</b>	<b>AUC<sub>0-24</sub> (µg/mL*hr)</b>	<b>K<sub>el</sub> (hr<sup>-1</sup>)</b>	<b>Relative Bioavailability</b>
MCT suspension	100	0.5	7.1±3.2	35.5	0.126	
Emulsion	100	1	8.7±1.7	83.0	0.153	2.3



## Figure Captions

**Figure 1.** Comparison of *in vitro* lipolysis profiles of tangeretin viscoelastic emulsion and MCT suspension. (A) The lipid digestion kinetics expressed as the amount of NaOH added as a function of time. (B) The extent of lipid digestion after 30 min of *in vitro* lipolysis. Data in (B) are presented as mean  $\pm$  standard deviation (n = 3). \*\* p < 0.01

**Figure 2.** Comparison of tangeretin percent bioaccessibility relative to the original dose in the MCT suspension and viscoelastic emulsion. Data in (B) are presented as mean  $\pm$  standard deviation (n = 3). \*\* p < 0.01

**Figure 3.** Cumulative bioaccessibility profile of tangeretin in the TIM-1 system expressed as percent of input concentration. The study was performed in duplicate and analyzed in triplicate.

**Figure 4.** Cumulative bioaccessibility profiles of tangeretin from (A) jejunum and (B) ileum sections of the TIM-1 system expressed as percent of input concentration. Unabsorbed fraction was also collected during TIM-1 simulation and presented as (C) Efflux. The study was performed in duplicate and analyzed in triplicate.

**Figure 5.** Profile of plasma concentration of tangeretin as a function of time after oral administration in form of viscoelastic emulsion (solid line) or MCT suspension (dashed line). Data are presented as mean  $\pm$  standard deviation (n = 3 or 4).

**Figure 6.** HPLC elution profile for plasma samples of mice fed with tangeretin. Data presented were selected at 12-hr time point for a clear indication of metabolite appearance. Three elution peaks correspond to nobiletin (internal standard, 8.81min), tangeretin (aglycone, 10.94 min), 5-demethyltangeretin (metabolite, 15.07 min).

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3 **Figure 7.** Plasma concentration of 5-demethyltangeretin as a functional of time profile after oral  
4 administration of tangeretin in viscoelastic emulsion (empty circles) or MCT suspension (solid  
5 circles). Data are presented as mean  $\pm$  standard deviation (n = 3 or 4).  
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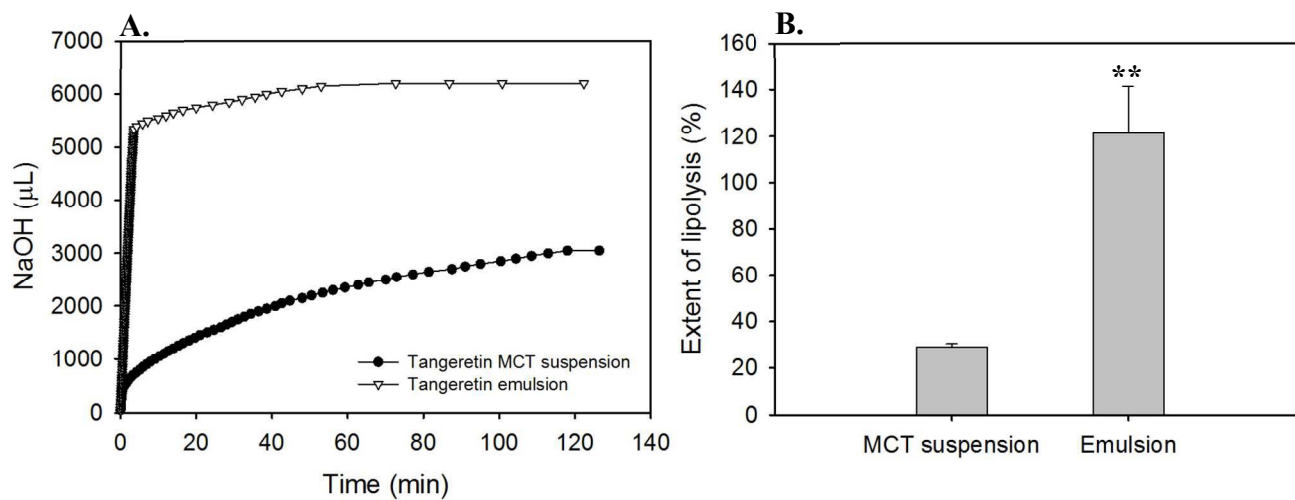


Figure 1

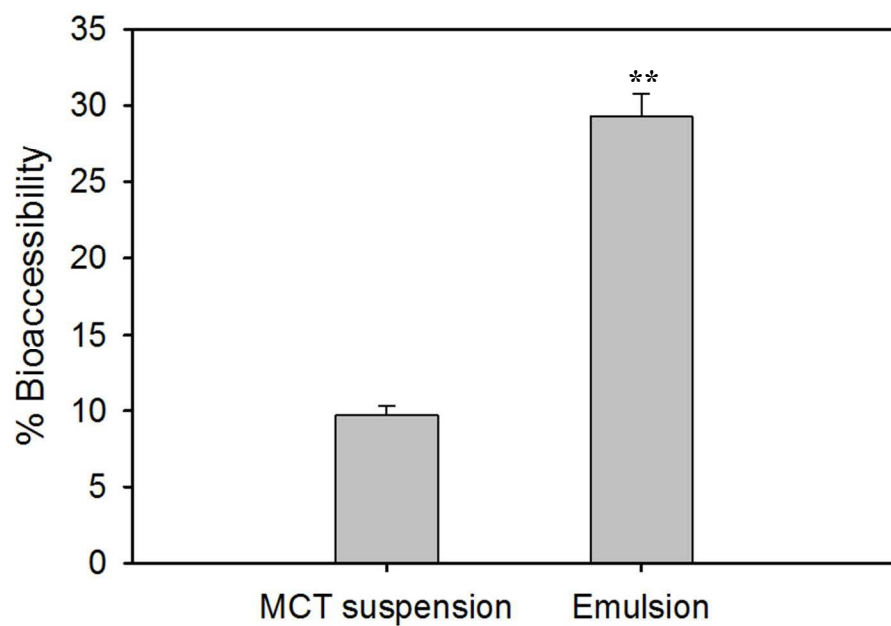


Figure 2

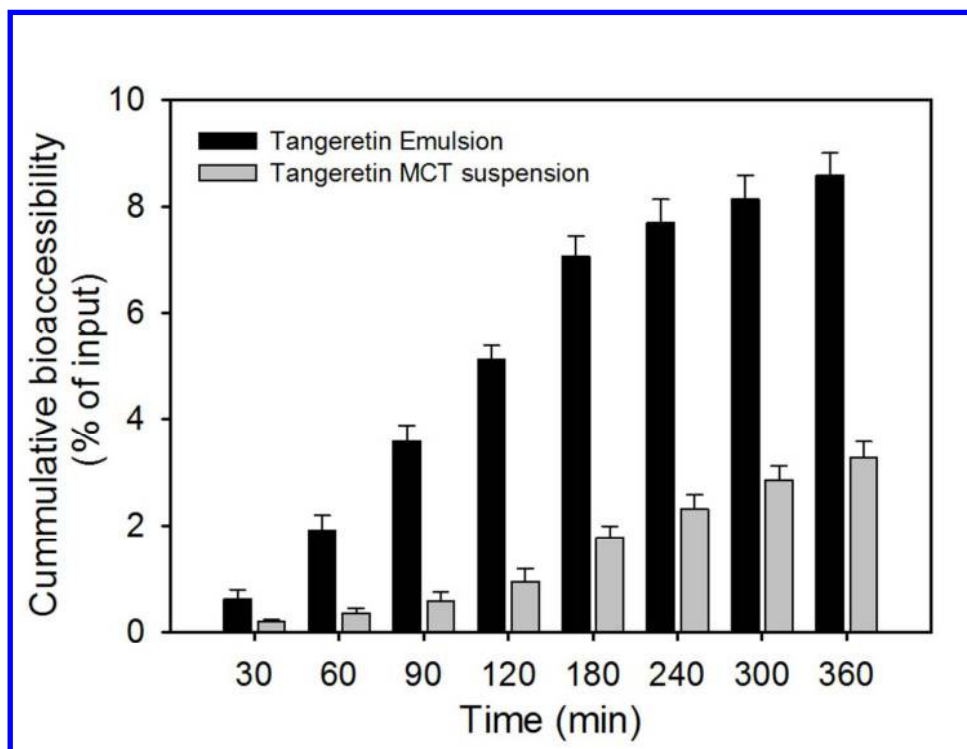


Figure 3

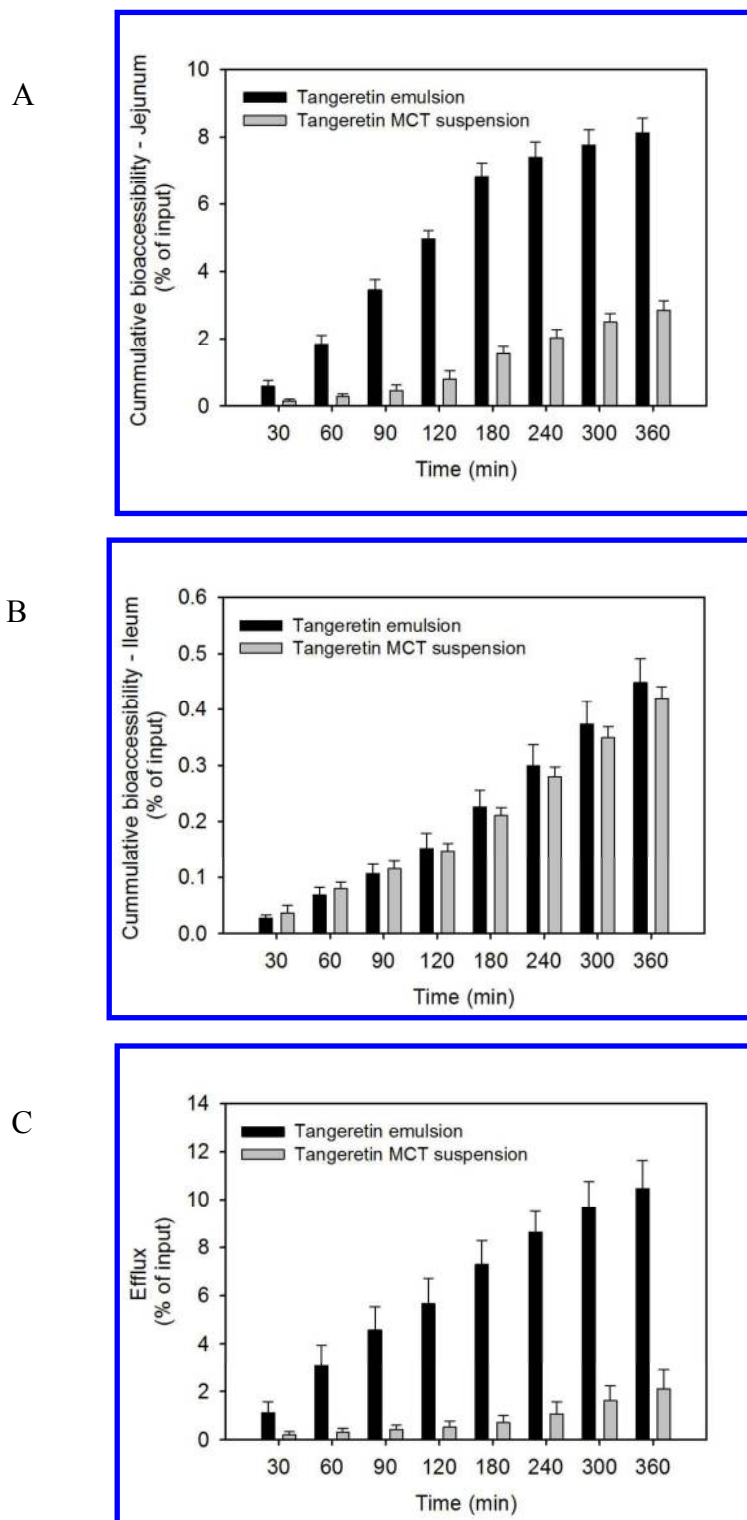


Figure 4

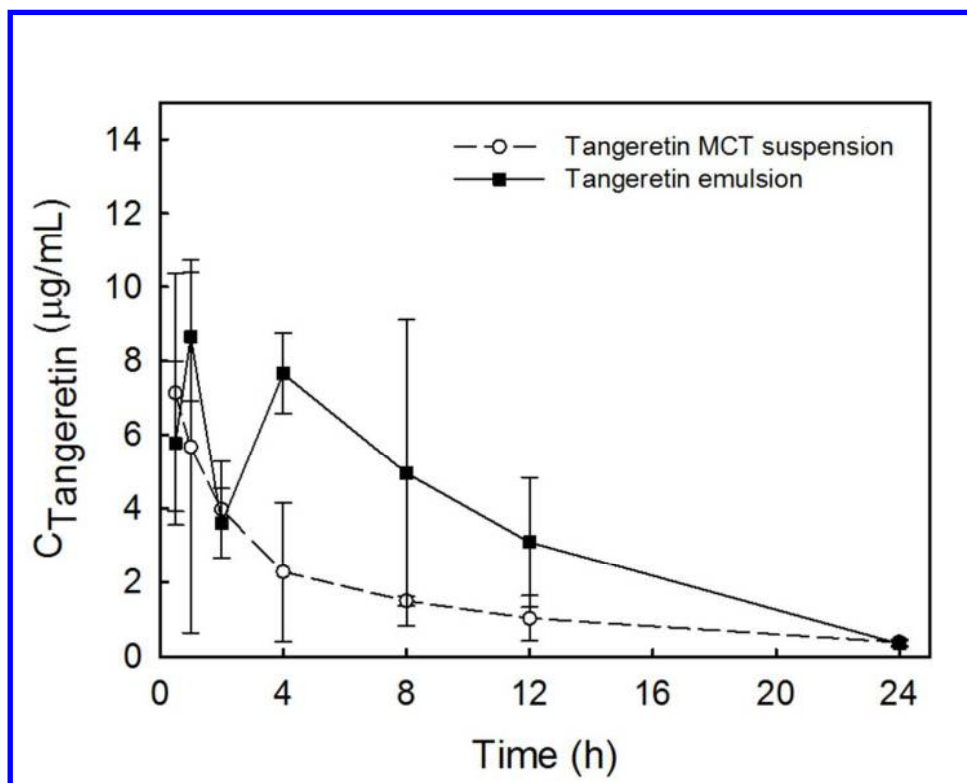


Figure 5

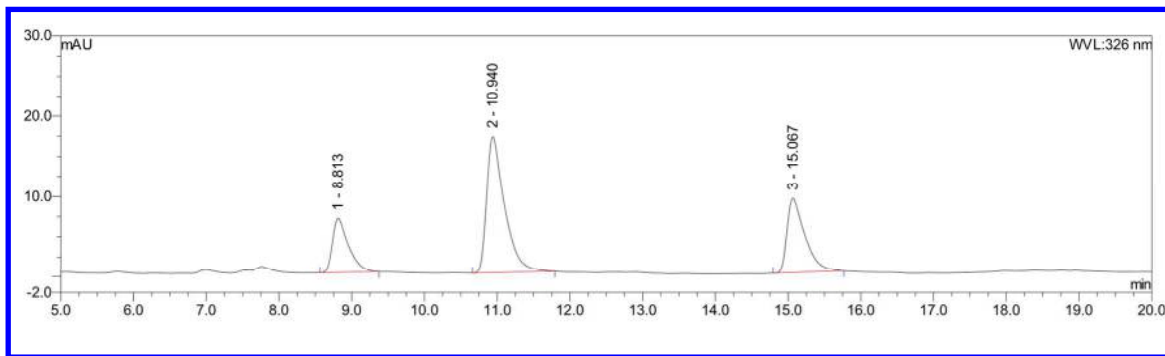


Figure 6



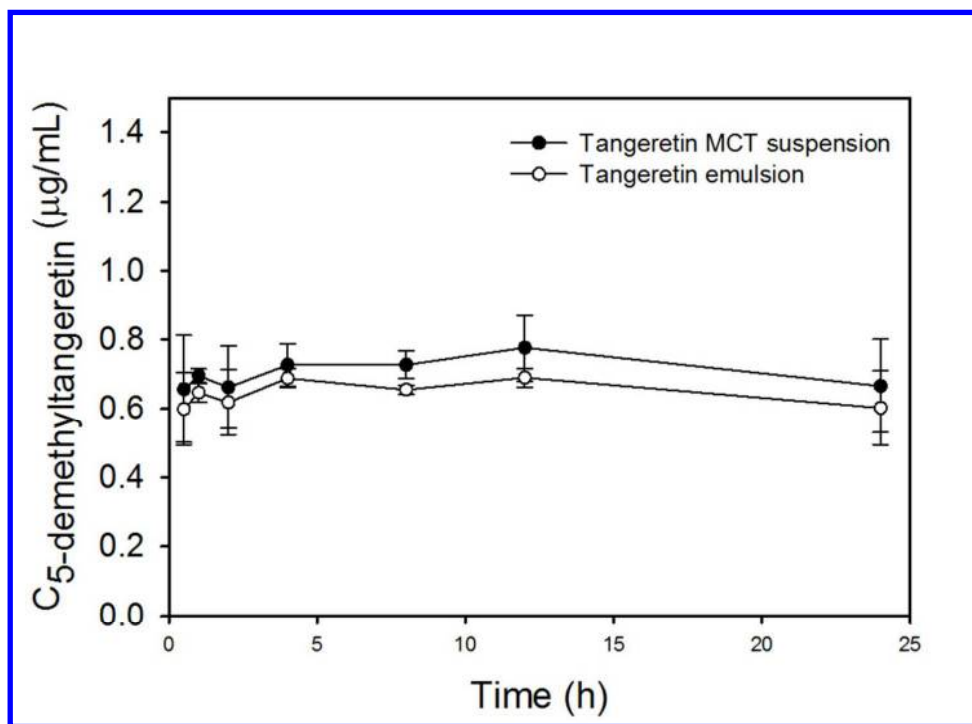


Figure 7